

Financial Statement – Auditor's Report Candidate – Form 4

Municipal Elections Act, 1996 (Section 88.25)

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be immediately paid to the clerk who is responsible for the conduct of the election.

For the campaign period from (day clerk received nomination)

YYYY	MM	DD
2 0 2 2	0 8	1 9

 to

YYYY	MM	DD
2 0 2 3	0 1	0 3

☒ Initial filing reflecting finances from start of campaign to December 31 (or 45 days after voting day in a by-election)

☐ Supplementary filing reflecting finances from start of campaign to end of extended campaign period

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

McLean

Given Name(s)

Duncan

Office for Which the Candidate Sought Election

Regional Councillor

Ward Name or Number (if any)

Municipality

Kitchener

Spending Limit

General

\$150,067.80

Parties and Other Expressions of Appreciation

\$ 15,006.78 *DM*

Contribution Limit

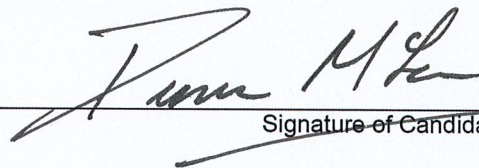
Contributions from Candidate and Spouse

~~\$9,673.92~~ 25,000 *DM*

☐ I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, Duncan McLean, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

2023/03/31

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

2023/03/31

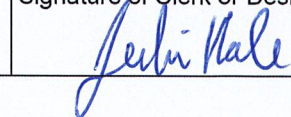
Time Filed

12:14

Initial of Candidate or Agent (if filed in person)

DM

Signature of Clerk or Designate



Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution

Amount borrowed
\$**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	22,823.92
Revenue from items \$25 or less	+ \$	
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	
Interest earned by campaign bank account	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
6.	+ \$	

Total Campaign Income (Do not include loan)**= \$ 22,823.92 C1****EXPENSES** (Note: Include the value of contributions of goods and services)**1. Expenses subject to general spending limit**Inventory from previous campaign used in this campaign
(list details in Table 2 of Schedule 1)

+ \$

Advertising

+ \$ 1,514.20

Brochures/flyers

+ \$ 13,409.22

Signs (including sign deposit)

+ \$ 5,933.69

Meetings hosted

+ \$

Office expenses incurred until voting day

+ \$ 1,002.46

Phone and/or internet expenses incurred until voting day

+ \$ 113.00

Salaries, benefits, honoraria, professional fees incurred until voting day

+ \$

Bank charges incurred until voting day

+ \$ 14.20

Interest charged on loan until voting day

+ \$

Other (provide full details)

1. Vanyah Venhuizen (postcard artwork etc.) + \$ 425.00

2. Google + \$ 17.00

3. Gas + \$ 258.87

4. Stakes and screws + \$ 111.68

5. Bank Fees + \$ 24.60

6. + \$

7. + \$

8. + \$

Total Expenses subject to general spending limit = \$ 22,823.92 C2

2. Expenses subject to spending limit for parties and other expressions of appreciation

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Expenses subject to spending limit for parties and other expressions of appreciation	= \$	_____	C3
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3. Expenses not subject to spending limits

Accounting and audit	+ \$	_____
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	_____
Office expenses incurred after voting day	+ \$	_____
Phone and/or internet expenses incurred after voting day	+ \$	_____
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	_____
Bank charges incurred after voting day	+ \$	_____
Interest charged on loan after voting day	+ \$	_____
Expenses related to recount	+ \$	_____
Expenses related to controverted election	+ \$	_____
Expenses related to compliance audit	+ \$	_____

Expenses related to candidate's disability (provide full details)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Other (provide full details)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

Total Expenses not subject to spending limits	= \$	_____	C4
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Total Campaign Expenses (C2 + C3 + C4)	= \$	22,823.92	C5
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Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	_____	D1
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If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign

Surplus (or deficit) for the campaign	= \$	_____	D2
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If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

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Schedule 1 – Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$	9,673.92	
Contributions in goods and services from candidate and spouse (include value listed in Table 1 and Table 2)	+ \$		
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$		
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Table 3 and Table 4) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	13,150.00	
Less: Ineligible contributions paid or payable to the contributor Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$		
	- \$		
Total Amount of Contributions (record under Income in Box C)	= \$	22,823.92	1A

Part II – Contributions from candidate or spouse

Table 1: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Total		

☐ Additional information is listed on separate supplementary attachment, if completed manually.

Table 2: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
(Note: Value must be recorded as a contribution from the candidate and as an expense.)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value (\$)
Total				

☐ Additional information is listed on separate supplementary attachment, if completed manually.

Part III – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

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Table 3: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
John MacTavish	433 Cooper Street Cambridge ON N3C 3Y6	2022/09/21	100.00	
Mary Jo Fedy	509 Old Cottage Court Kitchener, ON N2K 4K2	2022/10/12	250.00	
Vaughn Bender	30 Weberlyn Crescent Conestogo ON N0B 1N0	2022/09/28	100.00	
Brad Schlegel	325 Max Becker Drive suite 201 Kitchener ON N2E 4H5	2022/09/28	100.00	
Rob Schlegel	72 Frey Crescent Kitchener ON N2E 4L6	2022/09/28	100.00	
Christy Parsons	63 Doon Creek Street Kitchener ON N2R 0B6	2022/09/30	250.00	
James Schlegel	325 Max Becker Drive Suite 201 Kitchener ON N2E 4H5	2022/09/29	100.00	
Ron Schlegel	325 Max Becker Drive Suite 201 Kitchener ON N2E 4H5	2022/10/05	100.00	
Paul Brown	253 Hostetler Road New Hamburg ON N3A 0B1	2022/10/07	250.00	
Jack Reynolds	51 McCarron Crescent Waterloo ON N2L 5M9	2022/10/11	100.00	
Jay Taylor	491 Colonial Dr., Waterloo, N2K1Z4	2022/10/11	500.00	
Bernard Nimer	564 Clair Creek Blvd., Waterloo, N2T2B9	2022/10/18	1,200.00	
John Goemans	62 Rusholme Road Kitchener ON N2M 2T6	2022/10/06	100.00	
Scott Higgins	933 Pioneer Grove Court Kitchener ON N2P 0B2	2022/10/13	1,200.00	
Robert Heer	73-461 Columbia Street West Waterloo ON N2T 2P5	2022/10/17	250.00	
Neil Koebel	57 Willow Way, St Clements, N0B2M0	2022/10/17	500.00	
Lori Madden	78 John Street East Waterloo ON N2J 1G1	2022/10/17	500.00	
Andrew Bousfield	691 Glasgow St., Kitchener, N2M2N7	2022/10/18	300.00	
Ian Cook	695 Rupert St, Waterloo, N2V1Z5	2022/10/19	500.00	
Janine Giovinazzo	340 Valencia Avenue, Kitchener N2R 1Y5	2022/10/21	1,200.00	
Glen Wright	96 Wilkinson Drive Coboconk ON K0M 1K0	2022/10/24	250.00	
Eric Velanoff	307-16 Barrel Yards Blvd. Waterloo ON N2L 0C4	2022/10/24	100.00	
Christopher Wright	727 Shediak Crescent Waterloo ON N2K 4M3	2022/10/24	100.00	

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
Joesph Woodhouse	904 Deer Creek Court, Kitchener N2A 0B4	2022/10/27	250.00	
Kenneth Woodhouse	214 Old Chicopee Dr., Kitchener, N2A4G6	2022/10/27	250.00	
David Velanoff	37 - 435 Winchester Dr., Waterloo, N2T0B7	2022/10/28	100.00	
Ian McLean	196 Hilliard Ave., Waterloo, N2L1G1	2022/12/02	250.00	
Walter McLean	139 Father David Bauer Drive suite #424 Waterloo ON	2022/12/02	500.00	
Scott McLean	259 Lourdes St., Waterloo, N2L1P2	2022/12/16	250.00	
Christy Parsons	63 Doon Creek Street Kitchener ON N2R 0B6	2022/11/02	650.00	
Doug Hutton	408 Winchester Drive Waterloo ON N2T1J1	2022/10/18	100.00	
James Gies	663 Panchara Pl., Waterloo, N2K 4E6	2022/10/18	600.00	
Paul Brown	253 Hostetler Road New Hamburg ON N3A 0B1	2022/11/02	650.00	
Michael Schmidt	15 Colquhoun Street	2022/11/02	1,200.00	
John Loughheed	#207-564 Belmont Avenue Kitchener, ON N2M 5G7	2022/10/24	50.00	
Paul Puopolo	500 Wilmot Line Waterloo, ON N2J 3Z4	2022/10/24	150.00	
Total			13,150.00	

☐ Additional information is listed on separate supplementary attachment, if completed manually.

Table 4: Contributions in goods or services from individuals other than candidate or spouse
(Note: Must also be recorded as Expenses in Box C.)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)

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Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Total				

☐ Additional information is listed on separate supplementary attachment, if completed manually.

Total for Part III – Contributions exceeding \$100 per contributor

(Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions)

\$ 13,150.00 1B

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Schedule 2 – Fundraising Events and Activities

Complete a separate schedule for each event or activity held.

☐ Additional schedule(s) attached, if completed manually.

Fundraising Event/Activity 1

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person) \$ _____ 2A

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold x _____ 2B

Total Part I (2A X 2B) (include in Part I of Schedule 1)

= \$ _____

Part II – Other revenue deemed a contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1.	_____	+ \$
2.	_____	+ \$
3.	_____	+ \$
4.	_____	+ \$
5.	_____	+ \$

Total Part II (include in Part I of Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

Provide details (e.g., contribution of \$25 or less; goods or services sold for \$25 or less)

1.	_____	+ \$
2.	_____	+ \$
3.	_____	+ \$
4.	_____	+ \$
5.	_____	+ \$

Total Part III (include under Income in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1.	_____	+ \$
2.	_____	+ \$
3.	_____	+ \$
4.	_____	+ \$
5.	_____	+ \$

Total Part IV Expenses (include under Expenses in Box C)

= \$ _____

DM

Auditor's Report – *Municipal Elections Act, 1996* (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality			Date (yyyy/mm/dd)	
Contact Information				
Last Name or Single Name		Given Name(s)		Licence Number
Address				
Suite/Unit Number	Street Number	Street Name		
Municipality		Province		Postal Code
Telephone Number		Email Address		

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

☐ Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.