

Instructions

All candidates must complete Boxes A and B.. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)	YYYY	MM	DD	to	YYYY	MM	DD
	2022	07	18		2022	12	19

- ☒ Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)
- ☐ Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot Last Name or Single Name		Given Name(s)
RODRIGUES		MATT
Name of office for which the candidate sought election REGIONAL COUNCILLOR		Ward Name or Number (if any) REGIONAL
Name of Municipality KITCHENER		
Spending Limit General	Parties and Other Expressions of Appreciation	Contribution Limit Contributions from Candidate and Spouse
\$ 150,067.80	\$ 15,006.78	\$ 25,000.00

☐ I did not accept any contributions or incur any expenses. (Complete Box A and B only)

Box B : Declaration

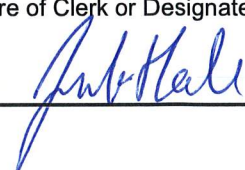
I, Matt Rodrigues, declare to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2023/02/03

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd) 2023/02/03	Time Filed 9:56	Initial of Candidate or Agent (if filed in person) 	Signature of Clerk or Designate 
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Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution

Amount borrowed

\$ -

INCOME

Total amount of all contributions (From line 1A in Schedule 1)	+	\$	18,691.05
Revenue from items \$25 or less	+	\$	-
Sign deposit refund		\$	-
Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2)	+	\$	-
Interest earned by campaign bank account	+	\$	1.96
Other (provide full details)			
1.	+	\$	-
2.	+	\$	-
3.	+	\$	-
4.	+	\$	-
5.	+	\$	-
6.	+	\$	-

Total Campaign Income (Do not include loan)**= \$ 18,693.01 C1****EXPENSES** (Note: Include the value of contributions of goods and services)**1. Expenses Subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1)	+	\$	-
Advertising	+	\$	4,206.10
Brochures/flyers	+	\$	8,562.60
Signs (including sign deposit)	+	\$	1,059.38
Meetings hosted	+	\$	788.64
Office expenses incurred until voting day	+	\$	228.29
Phone and/or Internet expenses incurred until voting day	+	\$	1,047.04
Salaries, benefits, honoraria, professional fees incurred until voting day	+	\$	-
Bank Charges incurred until voting day	+	\$	16.50
Interest charged on loan until voting day	+	\$	-
Other (provide full details)			
1.	+	\$	-
2.	+	\$	-
3.	+	\$	-
4.	+	\$	-
5.	+	\$	-
6.	+	\$	-

Total Expenses subject to general spending limit**= \$ 15,908.55 C2**

2. Expenses Subject to Spending Limit for parties and other expressions of appreciation

1. Taste of Soul (appreciation event)	+	\$	624.82
2. Short Finger (appreciation event)	+	\$	281.06
3.	+	\$	-
4.	+	\$	-
5.	+	\$	-

Total Expenses subject to Spending Limit for parties and other expressions of appreciation = \$ **905.88** C3

3. Expenses not subject to spending limits

Accounting and Audit	+	\$	1,412.50
Costs of fund-raising events/activities (list details in Part IV of Schedule 2)	+	\$	457.08
Office expenses incurred after voting day	+	\$	-
Phone and/or Internet expenses incurred after voting day	+	\$	-
Salaries, benefits, honoraria, professional fees incurred after voting day	+	\$	-
Bank Charges incurred after voting day	+	\$	9.00
Interest charged on loan after voting day	+	\$	-
Expenses related to recount	+	\$	-
Expenses related to controverted election	+	\$	-
Expenses related to compliance audit	+	\$	-
Expenses related to candidate's disability (provide full details)			
1.	+	\$	-
2.	+	\$	-
3.	+	\$	-
4.	+	\$	-
5.	+	\$	-
Other (provide full details)			
1.	+	\$	-
2.	+	\$	-
3.	+	\$	-
4.	+	\$	-
5.	+	\$	-

Total Expenses not subject to spending limit = \$ **1,878.58** C4

Total Campaign Expenses (C2 + C3 + C4) = \$ **18,693.01** C5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses
(Income minus Total Expenses) (C1-C5)

+ \$ - D1

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign.

- \$ -

Surplus (or deficit) for the campaign

= \$ - D2

If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I - Summary of Contributions

Contributions in money from candidate and spouse	+	\$	4,561.00	
Contributions in goods and services from candidate and spouse (include value listed in Table 1 and Table 2)	+	\$	-	
Total value of contributions not exceeding \$100 per contributor				
• include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from from candidate or spouse).	+	\$	5,075.00	
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Table 3 and Table 4)				
• include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from from candidate or spouse).	+	\$	9,305.05	
Less: Ineligible contributions paid or payable to the contributor	-	\$	250.00	
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25.	-	\$	-	
Total Amount of Contributions (Record under Income in Box C)	=	\$	18,691.05	1A

Part II - Contributions from candidate or spouse

Table 1: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
		-
		-
		-
		-
		-
Total		-

☐ Additional information is listed on separate supplementary attachment, if complete manually

Table 2: Inventory of campaign goods and materials from previous municipal campaign used in this campaign

(Note: Value must be recorded as a contribution from the candidate and as an expense.)

Description	Date Received (yyyy/mm/dd)	Supplier	Quantity	Current Market Value (\$)
			-	-
			-	-
			-	-
			-	-
			-	-
Total				-

☐ Additional information is listed on separate supplementary attachment, if complete manually 9503P

Part III – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse
Table 3: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
			-	-
			-	-
			-	-
			-	-
			-	-
			-	-
			-	-
			-	-
Total			9,305.05	

☒ Additional information is listed on separate supplementary attachment, if complete manually

Table 4: Contributions in goods or services from individuals other than candidate or spouse
(Note: Must also be recorded as Expenses in Box C.)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Amount Received (\$)
				-
				-
				-
				-
				-
				-
				-
				-
Total				-

☐ Additional information is listed on separate supplementary attachment, if complete manually

Total for Part III – Contributions exceeding \$100 per contributor
(Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions) \$ - **1B**

Supplemental Attachment

Part III - Contributions exceeding \$100 per contributor - individuals other than candidate or spouse

Table 3: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
Alicia Mah	305-307 Queen St S, Kitchener, ON, N2G 1W6	2022-07-26	1,200.00	
Craig Sloss	1407-181 King Street S, Waterloo, ON, N2J0E7	2022-09-16	1,200.00	
Joanne Rodrigues	1774 Folkway Drive, Mississauga, ON, L 5 L 2X 8	2022-08-09	1,200.00	
William Pristanski	481 Mayfair Ave S, Ottawa, ON, K1Y0K9	2022-09-29	1,200.00	
Jesse Wilson	753 Glasgow St, Kitchener, ON, N2M2N7	2022-09-13	1,000.00	
Lauren Weinberg	7 Dunbar Road North, Waterloo, ON, N2L 2C8	2022-08-10	500.00	
Liam Rodrigues	1774 Folkway Dr., Mississauga, ON, L5L 2X8	2022-08-24	350.00	
Anne Fraser	314 Sunset Blvd, Thornbury, ON, N0H 2P0	2022-10-14	300.00	
Andrew Dodds	1 HILDA PL, WATERLOO, ON, N2K1X2	2022-08-15	250.00	
Darren Kropf	51 HOMEWOOD AVE, KITCHENER, ON, N2M 1X1	2022-08-18	250.00	
Jacob Terry	1512-1 VICTORIA ST S, KITCHENER, ON, N2G0B5	2022-08-10	250.00	
Michael Davenport	212-307 Queen St S, Kitchener, ON, N2G4V3	2022-08-29	250.00	
Tom Galloway	160 Radcliffe Dr, Kitchener, ON, N2E1Y5	2022-09-12	250.00	
Dorothy Rodrigues	7 Lakeside Cres., Richmond Hill, ON, L4E 3C8	2022-08-31	200.00	
Zac Young	52 Walnut Street, Kitchener, ON, N2G 1P6	2022-08-10	200.00	
Ali Williams	237 Kehl St Kitchener, ON , N2m 3v3	2022-12-19	155.05	
Jocelyn Beatty	27 FILBERT ST, KITCHENER, ON, N2H1Y1	2022-09-29	150.00	
Melissa Bowman	35 HIGHLAND RD W, KITCHENER, ON, N2M3B6	2022-08-15	150.00	
Brendan Roddy	2258 West Taylor Blvd, Winnipeg, MB, R3P 2J5	2022-10-26	250.00	250.00
Total:			9,305.05	
Total, Less Returned Contributions:			9,055.05	

Schedule 2 - Fundraising Events and Activities

Complete a separate schedule for each event or activity held. ☐ Additional schedule(s) attached, if completed manually.

Fundraising Event/Activity 1

Description of fundraising event/activity Online Contribution Solicitation Program Activity (during campaign period)

Date of event/activity (yyyy/mm/dd) 2022 / 7/ 18

Part I - Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

+ \$ - 2A

Number of tickets sold

X - 2B

Total Part 1(2A x 2B) (Include Part I of Schedule 1)

= \$ -

Part II - Other Revenue Deemed A Contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1.	+	\$ -
2.	+	\$ -
3.	+	\$ -
4.	+	\$ -
5.	+	\$ -

Total Part II (include in Part 1 of Schedule 1)

= \$ -

Part III - Other Revenue Not Deemed A Contribution

Provide details (e.g., contributions of \$25.00 or less; goods or services sold for \$25 or less)

1.	+	\$ -
2.	+	\$ -
3.	+	\$ -
4.	+	\$ -
5.	+	\$ -

Total Part III (include under Income in Box C)

= \$ -

Part IV - Expenses Related to Fundraising event or activity

Provide details

1. Merchant bank - contribution processing fees	+	\$ 457.08
2.	+	\$ -
3.	+	\$ -
4.	+	\$ -
5.	+	\$ -

Total Part IV Expenses (include under Expenses in Box C)

= \$ 457.08

Auditor's Report - Municipal Elections Act, 1996 (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA, CA (Chaplin & Co. Chartered Accountants)

Municipality

Date (yyyy/mm/dd)

Toronto

2022 /12/ 19

Contact Information

Last Name or Single Name

Given Name(s)

Licence No.

Bergman

Gail

1-18290

Address

Suite/Unit No.

Street No.

Street Name

710

1110

Finch Avenue West

Municipality

Province

Postal Code

Toronto

Ontario

M3J 2T2

Telephone Number

Email Address

416-667-7060

gail@chaplinco.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement.

☒ Report is attached

Personal information, if any, collected on this form is obtained under authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statement shall also be made available by the clerk in an electronic format free of charge upon request.



INDEPENDENT AUDITOR'S REPORT

PURSUANT TO SECTION 88.25 OF THE MUNICIPAL ELECTIONS ACT, 1996

To: AMANDA FUSCO, Clerk, City of Kitchener

Qualified Opinion

We have audited the accompanying financial statement (Form 4) of Matt Rodrigues, candidate, for the campaign period from 2022/7/18 to 2022/12/19 relating to the election held on October 24, 2022, and Box C: Statement of Campaign Period Income and Expenses for the period and Box D: Calculation of Surplus or Deficit. The financial information has been prepared by Matt Rodrigues, the candidate, based on the financial reporting provisions of Section 88.22 of the Municipal Elections Act, 1996.

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion section of our report, the accompanying financial statement presents fairly, in all material respects, the income and expenses of Matt Rodrigues for the campaign period 2022/7/18 to 2022/12/19 in accordance with the financial reporting provisions of Section 88.22 of the Municipal Elections Act, 1996

Basis for qualified opinion

Due to the inherent nature of the transactions of electoral campaigns of this type, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of income and expenses was limited to the amounts recorded in the records of Matt Rodrigues and we were not able to determine whether any adjustments might be necessary to income, expenses and surplus/deficit for the period 2022/7/18 to 2022/12/19.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the Auditors' Responsibilities for the Audit of the Financial Statement section of our report. We are independent of Matt Rodrigues in accordance with the ethical requirements that are relevant to our audit of financial statements in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified opinion.

Basis of Accounting

Without modifying our qualified opinion, we draw attention to the fact that the financial statement is prepared to assist the candidate to meet the requirements of the Municipal Elections Act, 1996, and as a result, the financial statement may not be suitable for another purpose.

Responsibilities of Management and Those Charged with Governance for the Financial Statement

Management is responsible for the preparation and fair presentation of the financial statement in accordance with financial reporting provisions of Section 88.22 of the Municipal Elections Act, 1996, and for such internal control as management determines is necessary to enable the preparation of the financial statement that is free from material misstatement, whether due to fraud or error.

Those charged with governance are responsible for overseeing Matt Rodrigues's financial reporting process.

Auditors' Responsibilities for the Audit of the Financial Statement

Our objectives are to obtain reasonable assurance about whether the financial statement as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditors' report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of this financial statement.

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Roger Chaplin CPA, CA LPA MA (Oxon) Gail Bergman CPA, CA LPA B Comm

Chaplin & Co LLP Chartered Professional Accountants • 1110 Finch Avenue West Suite 710 Toronto Ontario M3J 2T2

Telephone 416 667 7060 Facsimile 416 663 3746 e cpa@chaplinco.com www.chaplinco.com

As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgement and maintain professional skepticism throughout the audit.

We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of Matt Rodrigues's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.
- Evaluate the overall presentation, structure and content of the financial statement, including the disclosures, and whether the financial statement represent the underlying transactions and events in a manner that achieves fair presentation.

Signature Chaplin & Co. LLP Date January 16, 2023
Chaplin & Co. LLP, Chartered Professional Accountants
Licensed Public Accountants
Toronto, Ontario